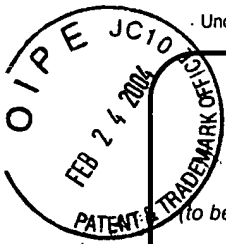


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Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	10/693,047	
	<b>Filing Date</b>	October 24, 2003	
	<b>First Named Inventor</b>	Wouter E. Roorda et al.	
	<b>Group Art Unit</b>	1615	
	<b>Examiner Name</b>	Unassigned	
<b>Total Number of Pages in This Submission (excluding references)</b>	8	<b>Attorney Docket No.</b>	50623.349

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Deposit Account Authorization 07-1850 <input checked="" type="checkbox"/> Postage Paid Return Postcard <input type="checkbox"/> Response to Office Action <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Petition for Extension of Time (___ month) (in duplicate) <input type="checkbox"/> Amendment Transmittal Letter (in duplicate) <input checked="" type="checkbox"/> Information Disclosure Statement with Form PTO-1449 and 60 References <input type="checkbox"/> Request for Continued Examination (RCE) Transmittal <input type="checkbox"/> Express Mail Label No. <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Submission of Formal Drawings (in duplicate) <input type="checkbox"/> Other Enclosure(s) (please identify below):		
<table border="1"> <tr> <td>Remarks</td> <td></td> </tr> </table>			Remarks	
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Squire, Sanders & Dempsey L.L.P. Paul J. Meyer, Jr., Reg. No. 47,791
Signature	
Date	February 20, 2004

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Examiner: Unassigned

Wouter E. Roorda et al.

Serial No. 10/693,047

Art Unit: 1615

Filed: October 24, 2003

Title: Permeabilizing reagents to increase drug delivery and a method of local delivery

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§1.97-1.98**

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. According to a Notice signed July 11, 2003, the U.S. Patent and Trademark Office has waived the requirement under 37 C.F.R. § 1.98(a)(2)(i) for all patent applications filed after June 30, 2003. *See*, 1276 Off. Gaz. Pat. Office 55. Since this patent application was filed after June 30, 2003, Applicants have not provided copies of the cited U.S. patents or the U.S. Patent Application Publications. Copies of the cited foreign patent documents and non-patent literature have been submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.


The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date: February 20, 2004

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Respectfully submitted,



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Attorney for Applicants  
Reg. No. 47,791

<b>FORM PTO-1449 (Modified)</b>		US DEPARTMENT OF COMMERCE		Docket No.		Application No.	
Approved for use through 10/31/2002		US Patent and Trademark Office		50623.349		10/693,047	
<b>INFORMATION DISCLOSURE CITATION</b> <b>in an Application</b> (Use several sheets if necessary)				Applicant			
				Wouter E. Roorda et al.			
				Filing Date		Group Art Unit	
				October 24, 2003		1615	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A1	2,072,303	3/2/37	Herrmann et al.	128	335.5	
	A2	4,977,901	12/18/90	Ofstead	128	772	
	A3	5,021,044	6/4/91	Sharkawy	604	53	
	A4	5,268,164	12/7/93	Kozarich et al.	424	9	
	A5	5,328,471	7/12/94	Slepian	604	101	
	A6	5,464,650	11/7/95	Berg et al.	427	2.30	
	A7	5,578,073	11/26/96	Haimovich et al.	623	1	
	A8	5,605,696	2/25/97	Eury et al.	424	423	
	A9	5,628,730	5/13/97	Shapland et al.	604	21	
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	A28	6,015,541	1/18/00	Greff et al.	424	1.25		
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	A31	6,165,212	12/26/00	Dereume et al.	623	1.13		
FOREIGN PATENT DOCUMENTS								
Examiner Initial	Ref. No.	Document Number	Date of Publication	Country	Class	Subclass	Translation	
							Yes	No
	B1	WO 91/12846	9/5/91	PCT				
	B2	EP 0 665 023	8/2/95	European				
	B3	WO 97/45105	12/4/97	PCT				
	B4	WO 99/63981	12/16/99	PCT				
	B5	EP 0 970 711	1/12/00	European				
	B6	WO 00/12147	3/9/00	PCT				
	B7	WO 00/64506	11/2/00	PCT				
	B8	WO 01/01890	1/11/01	PCT				
	B9	WO 01/45763	6/28/01	PCT				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)								
	C1	Alt et al., <i>Inhibition of Neointima Formation After Experimental Coronary Artery Stenting: A New Biodegradable Stent Coating Releasing Hirudin and the Prostacyclin Analogue Iloprost</i> , Circulation March 28, 2000; 101:1453-1458.						
	C2	Baldus et al., <i>Treatment Of Aortocoronary Vein Graft Lesions With Membrane-Covered Stents</i> , Circulation, 2000; 102:2024-2027.						
	C3	Barath et al., <i>Low Dose of Antitumor Agents Prevents Smooth Muscle Cell Proliferation After Endothelial Injury</i> , JACC Vol. 13, No. 2, February 1989:252A (Abstract).						
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EXAMINER	
DATE CONSIDERED	
EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	